

# \* Herbal medicines

Current research on effectiveness,  
pharmacology, and safety

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[www.vtherbcenter.org](http://www.vtherbcenter.org)



# \* Introductory notes

Herbal medicine is an old discipline

Its practice is nature-driven

Traditionally, uses whole plants

High-potency extracts are recent

Effects are generally mild

Habitual use often most effective



# \* Introductory notes

Pharmacology is often complex:

- Multiple countervailing mechanisms
- Synergy

Traditionally understood as a whole "system":

- Plants as personalities
- Ecology-like analogies to describe effects



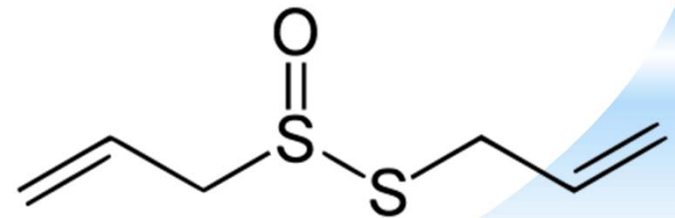
# \*Garlic

Allium sativum

**How used:** whole food, proprietary extracts (AGE, Kyolic e.g.)

**Why used:** Cardiovascular disease. Plasma lipid and cholesterol management, hypertension, potentially stomach and colorectal cancer

**Pharmacology:** Largely pungent sulfur-containing compounds, such as allicin





# \* Garlic

Plasma lipid and cholesterol management:

Reid, Toben, Fakler 2013

Building on:

[Garlic for treating hypercholesterolemia. A meta-analysis of randomized clinical trials.](#)

Stevinson C, Pittler MH, Ernst E.

Ann Intern Med. 2000 Sep 19;133(6):420-9.

[The impact of garlic on lipid parameters: a systematic review and meta-analysis.](#)

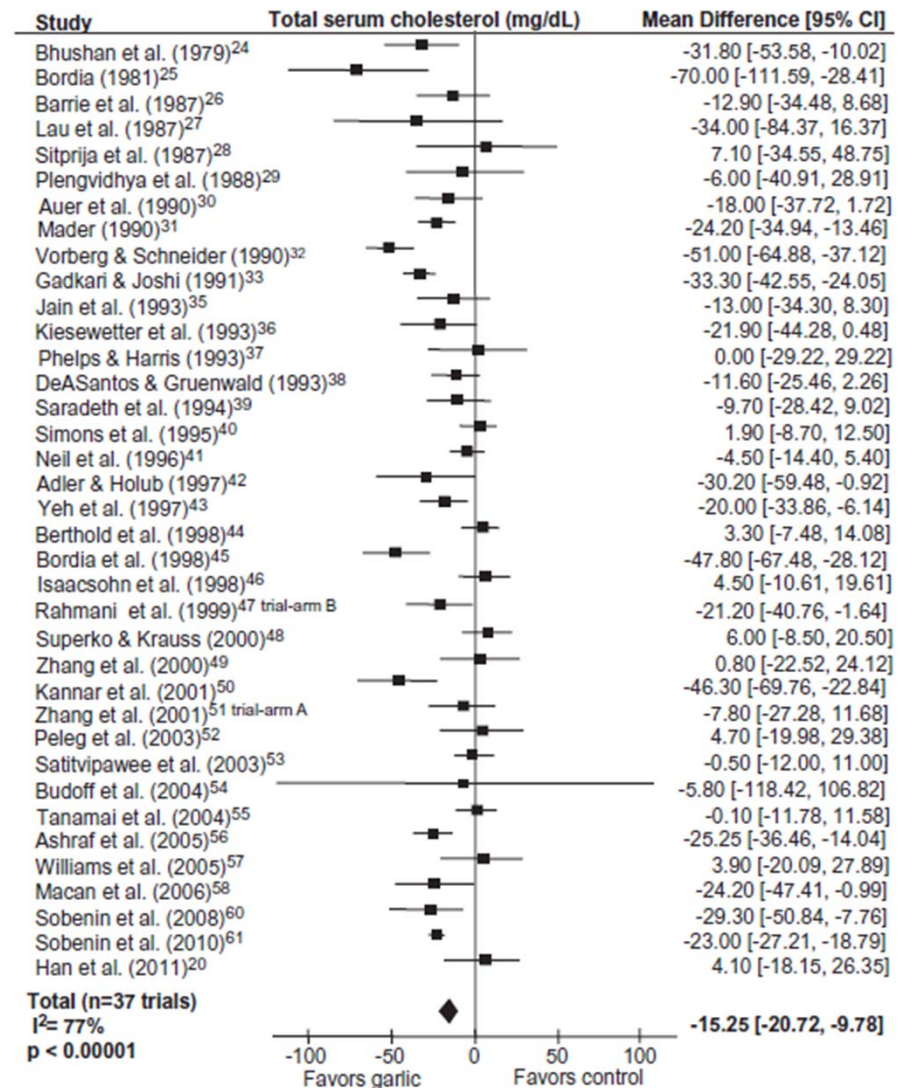
Reinhart KM, Talati R, White CM, Coleman CI.

Nutr Res Rev. 2009 Jun;22(1):39-48.

[A meta-analysis of randomized, double-blind, placebo-controlled trials for the effects of garlic on serum lipid profiles.](#)

Zeng T, Guo FF, Zhang CL, Song FY, Zhao XL, Xie KQ.

J Sci Food Agric. 2012 Jul;92(9):1892-902



# \*Garlic

Risk of cancer:

Fleischauer, Poole, Arab 2000

High heterogeneity of trials  
Confounding factors present

VERY high consumption  
levels in studies showing  
greatest effects (2 bulbs/d)

Meta-analysis of relative risk (RR) estimates (95% CIs) by cancer site <sup>1</sup>			
Model and references	Fixed-effects estimate	Random-effects estimate	P
All cancers, $n = 22$ RRs (4-17, 19, 21-24)	0.65 (0.58, 0.72)	0.63 (0.50, 0.80)	<0.0001
All cancers, excluding the studies by Dorant et al (10, 16, 23, 24), <sup>2</sup> $n = 18$ RRs (4-9, 11-15, 17, 19, 21, 22)	0.57 (0.51, 0.64)	0.54 (0.43, 0.67)	<0.0001
Colorectal cancers, $n = 8$ RRs (5, 11-16)	0.72 (0.61, 0.85)	0.66 (0.48, 0.91)	0.003
Colorectal cancers, excluding the study by Dorant et al (16), <sup>2</sup> $n = 7$ RRs (5, 11-15)	0.67 (0.56, 0.80)	0.60 (0.44, 0.83)	0.02
Colorectal cancers, excluding the studies by Dorant et al (16) <sup>2</sup> and Iscovich et al (11), <sup>3</sup> $n = 6$ RRs (5, 12-15)	0.71 (0.59, 0.86)	0.69 (0.55, 0.89)	0.17
Stomach cancers, $n = 5$ RRs (6-10)	0.57 (0.47, 0.70)	0.61 (0.37, 1.03)	<0.0001
Stomach cancers, excluding the study by Dorant et al (10), <sup>2</sup> $n = 4$ RRs (6-9)	0.54 (0.44, 0.66)	0.53 (0.31, 0.92)	0.0002

<sup>1</sup>Mean ( $\pm$ SD) consumption for the highest category of raw garlic, cooked garlic, or both (RC) was  $18.3 \pm 14.2$  g/wk for all studies combined. Four studies did not report cutoffs for RC garlic consumption.

<sup>2</sup>The studies by Dorant et al examined garlic supplements exclusively and cancer incidence.

<sup>3</sup>Iscovich et al's study combined garlic, onions, and peppers into a single exposure category.

# \*Garlic

Safety concerns:

Altered coagulability?

Macan et al. 2006, n=30. 5ml aged garlic extract, 12 weeks, while on warfarin revealed no events of hemorrhage.

Scharbert et al. 2007, n=18 healthy volunteers. No effects on platelet function after 1 dose of 4.2g, or after 5 days at same dosage. This is a low dose for colorectal cancer, minimal dose for plasma cholesterol.

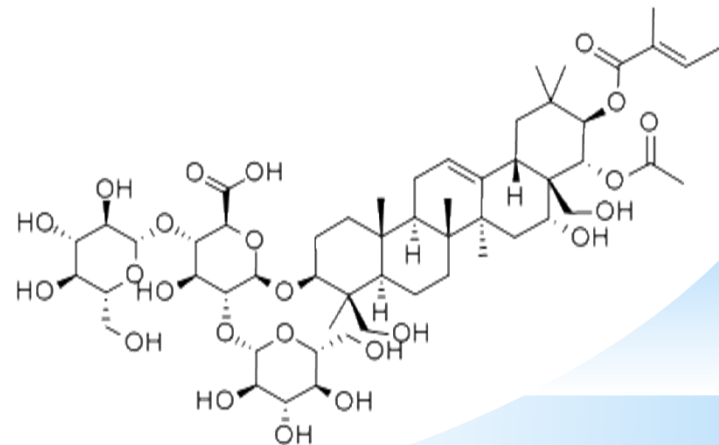
# \* Horse Chestnut

*Aesculus hippocastanum*

How used: liquid extracts, encapsulated powdered seed

Why used: Chronic venous insufficiency. Varicosities, incl. hemorrhoids though usually on legs. Includes topical use.

Pharmacology: Anti-inflammatory triterpene saponin, aescin





# \*Horse Chestnut

Cochrane Collaborative, Pittler and Ernst, 2012:

Six trials (n=543) show reduction in pain, edema, leg circumference and edema. Similar to compression stockings.

Safety concerns: generally low and infrequent adverse events (Greeske 1996; Leskow 1996) reporting pruritus, nausea, gastrointestinal complaints, headache and dizziness in 43 of 6183 patients (0.7%)

In pregnancy: Steiner 1990: n=52 pregnant women with venous insufficiency. 300 mg extract (equivalent to 50 mg aescin) or a placebo twice daily for 2 weeks. The extract was superior to the placebo in reducing oedema and symptoms such as leg pain, fatigue and itching. Patients treated with the extract also showed a greater resistance to oedema induction

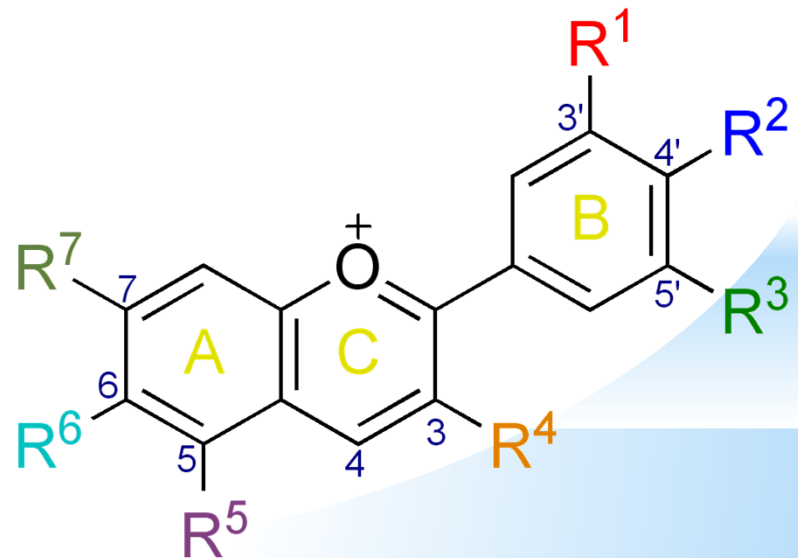
# \* Hawthorn

Crataegus species (monogyna, oxyacantha, et.al.)

How used: liquid / solid extracts, encapsulated powdered fruit, leaf/flower

Why used: Symptoms of congestive heart failure, esp. angina and dyspnea. Hypertension and hypotension.

Pharmacology: Complex cocktail of bioflavonoids, esp. anthocyanidins



Pittler, Guo and Ernst 2008  
Cochrane Collaborative

**Comparison 1. Hawthorn extract versus placebo**

<b>Outcome or subgroup title</b>	<b>No. of studies</b>	<b>No. of participants</b>	<b>Statistical method</b>	<b>Effect size</b>
1 Maximum work load	5	380	Mean Difference (IV, Random, 95% CI)	5.35 [0.71, 10.00]
2 Exercise tolerance (Watt min)	2	98	Mean Difference (IV, Random, 95% CI)	122.76 [32.74, 212.78]
3 Pressure-heart rate product	5	329	Mean Difference (IV, Random, 95% CI)	-19.22 [-30.46, -7.98]
4 Symptom scores according to v Zerssen	3	239	Mean Difference (IV, Random, 95% CI)	-5.47 [-8.68, -2.26]
5 6-min walk test	1	111	Mean Difference (IV, Random, 95% CI)	-8.0 [-34.49, 18.49]
6 LVEF%	1	40	Mean Difference (IV, Random, 95% CI)	1.7 [0.88, 2.52]

Safety concerns: blood coagulability

Dalli et al. 2011

**Table 1**  
Platelet aggregation with ADP, collagen, and arachidonic acid.

	Baseline	<i>C laevigata</i>	Baseline	Aspirin
ADP (Imax) (mm)	107.6 ± 23.6	108.3 ± 29.2	103.6 ± 26.3	64.6 ± 15.7*#
ADP (Imax 5 min) (mm)	100.8 ± 36.9	100.3 ± 44.9	89.0 ± 49.5	20.0 ± 23.1*#
Collagen (Imax) (mm)	127.5 ± 12.5	126.2 ± 9.5	113.5 ± 25.8	39.6 ± 27.6*#
Collagen (Imax 5 min) (mm)	127.5 ± 12.5	126.7 ± 9.6	112.9 ± 28.2	32.1 ± 28.5*#
Arachidonic acid (Imax) (mm)	128.8 ± 11.4	124.8 ± 12.7	120.1 ± 7.6	6.5 ± 7.1*#

Only aspirin inhibited platelet aggregation. \* Baseline vs aspirin:  $p < 0.0001$ . # *C. laevigata* vs aspirin post-treatment:  $p < 0.0001$ . Values are mean ± SD.



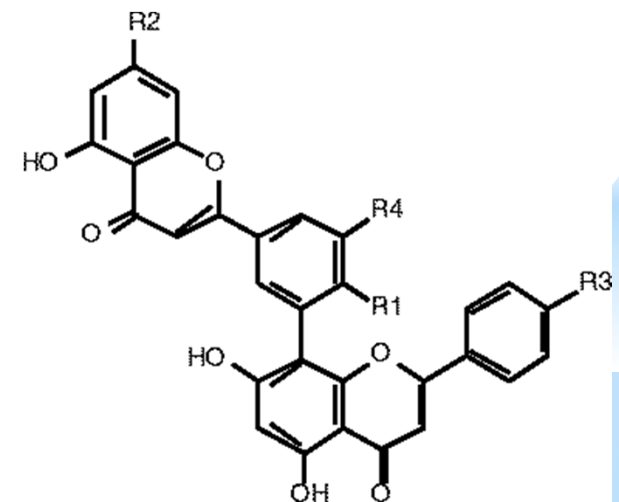
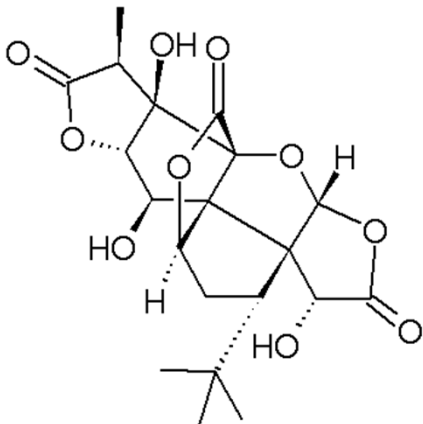
# \*Ginkgo

Ginkgo biloba

**How used:** leaf extract capsules, 24% flavo-glycosides 8% lactones stndz.

**Why used:** Cognitive symptoms associated with vascular dementia, peripheral vascular disease

**Pharmacology:** Unique lactones (ginkgolides) and flavonoid glycosides

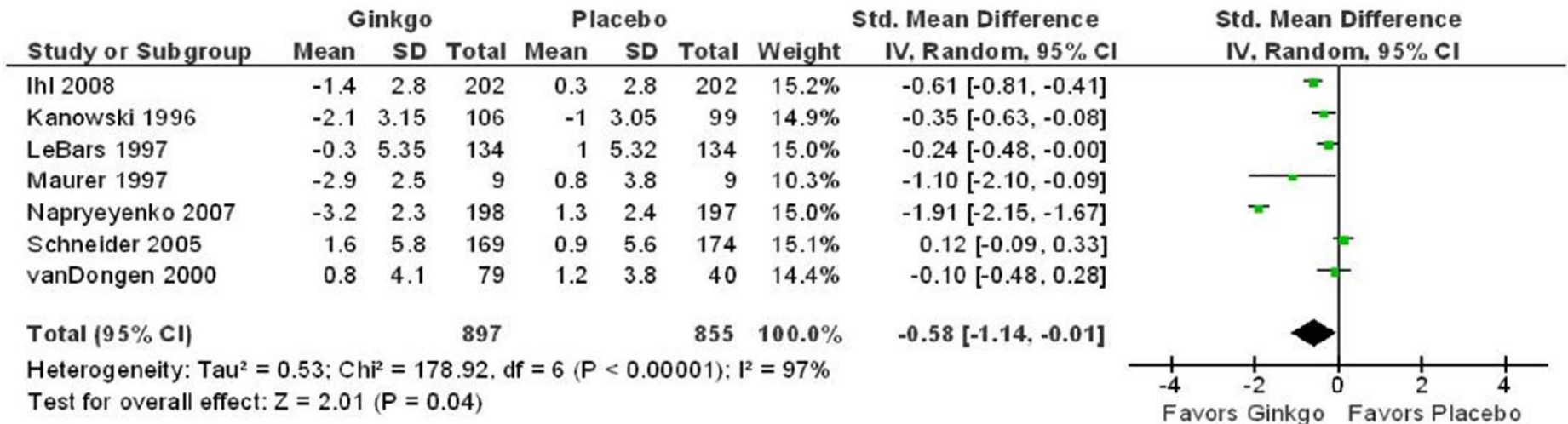


# \* Ginkgo

Weinmann et al. 2010

“Effects of Ginkgo biloba in dementia: systematic review and meta-analysis.”

*Generally effects are more conclusive in vascular dementia than Alzheimer’s dementia, for which Ginkgo does poorly.  
Napryeyenko 2007 - outlier. Most effects are modest.*





Safety concerns: blood coagulability

Kellerman and Kloft 2011

- Pooled 18 trials, n=1985. 13% healthy volunteers
- Blood viscosity decrease favors Ginkgo (better tissue perfusion)
- No alteration in ADP-induced platelet aggregation, fibrinogen, PT
  
- *Standardized GBE does not carry a higher risk of bleeding, even at higher (240mg+ QD) doses*

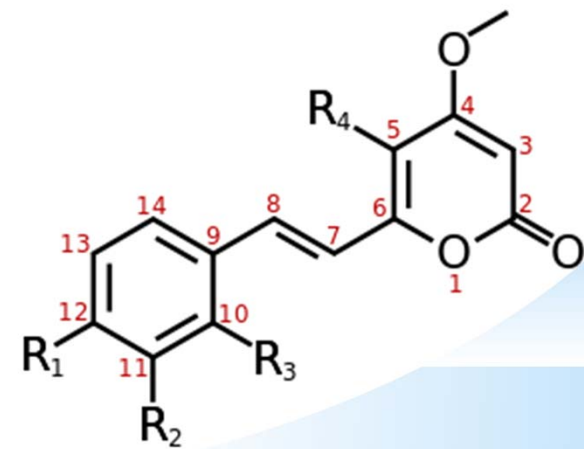
# \*Kava-Kava

Piper methysticum

How used: liquid extracts, extract capsules, traditional preparations of root

Why used: Anxiety

Pharmacology: Lactones (kavalactones - relatively unique pungent bitters)



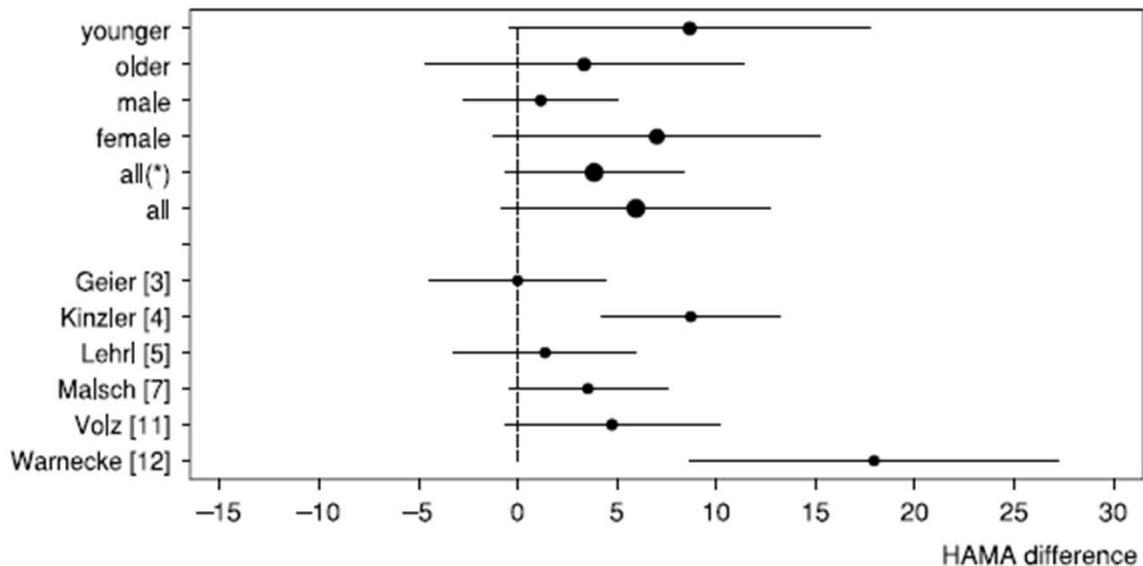


# \*Kava-Kava

Ernst and Pittler,  
Cochrane Collaborative 2003

Effective for anxiety,  
Safe 1-24wks

Witte, Loew,  
Gauss 2005  
5.94pt improvement  
on HAMA  
Greater effect in:  
women,  
younger patients



# \*Kava-Kava

Safety concerns: liver damage, driving, interactions with pharmaceuticals

Stevenson, Huntley and Ernst 2002 Safety review

Kava extracts do not impair cognitive performance and vigilance;  
Do not potentiate the effects of central nervous system depressants.  
Possible interaction with benzodiazepines has been reported.

Teschke, Genthner, Wolff 2009, Journal of Ethnopharmacology

- Hepatotoxicity isn't related to solvent (aqueous or ethanolic)
- May be related to poor quality / incorrect raw material

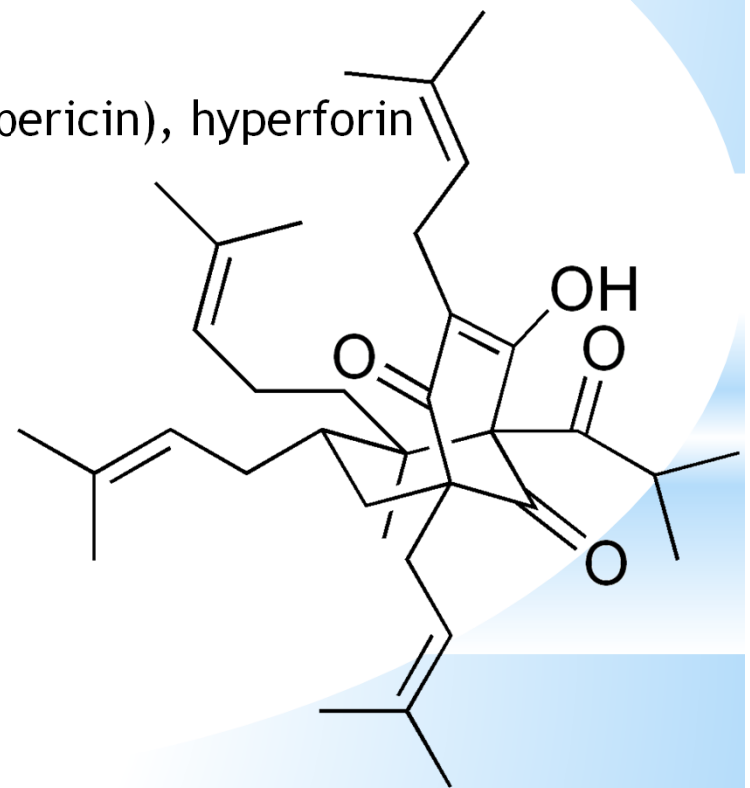
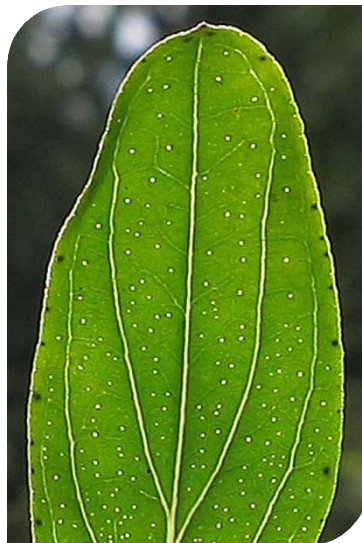
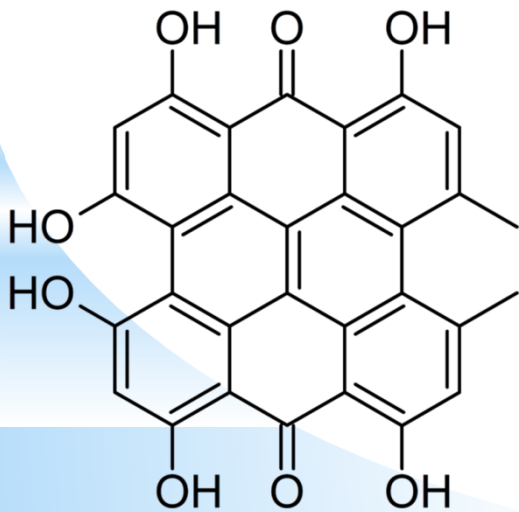
# \*St. Johnswort

Hypericum perforatum

How used: liquid extracts, extract capsules

Why used: Depression

Pharmacology: Complex. Resin w/ quinones (hypericin), hyperforin

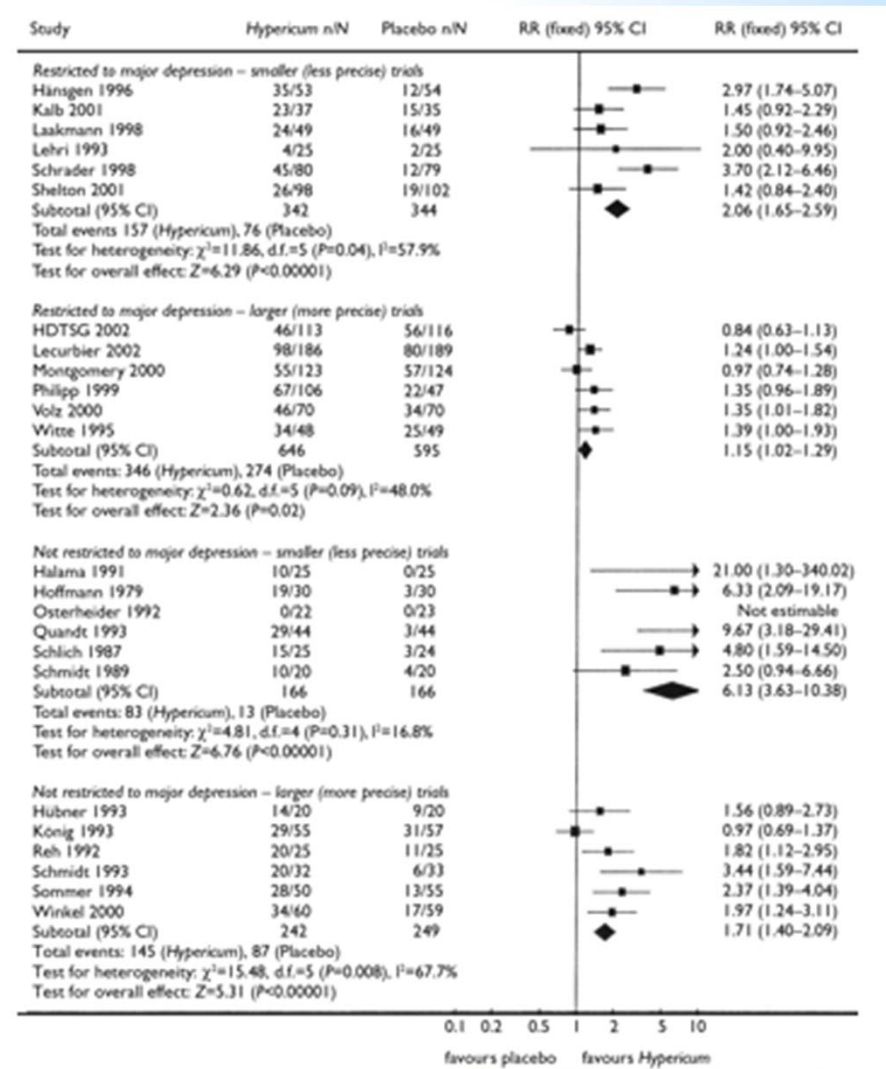


# \*St. Johnswort

Linde et al. 2005 Meta-review  
Cochrane Collaborative  
Depression (broad range)

Compared small vs large trials  
Compared major vs non-major

Similar to standard antidepressants



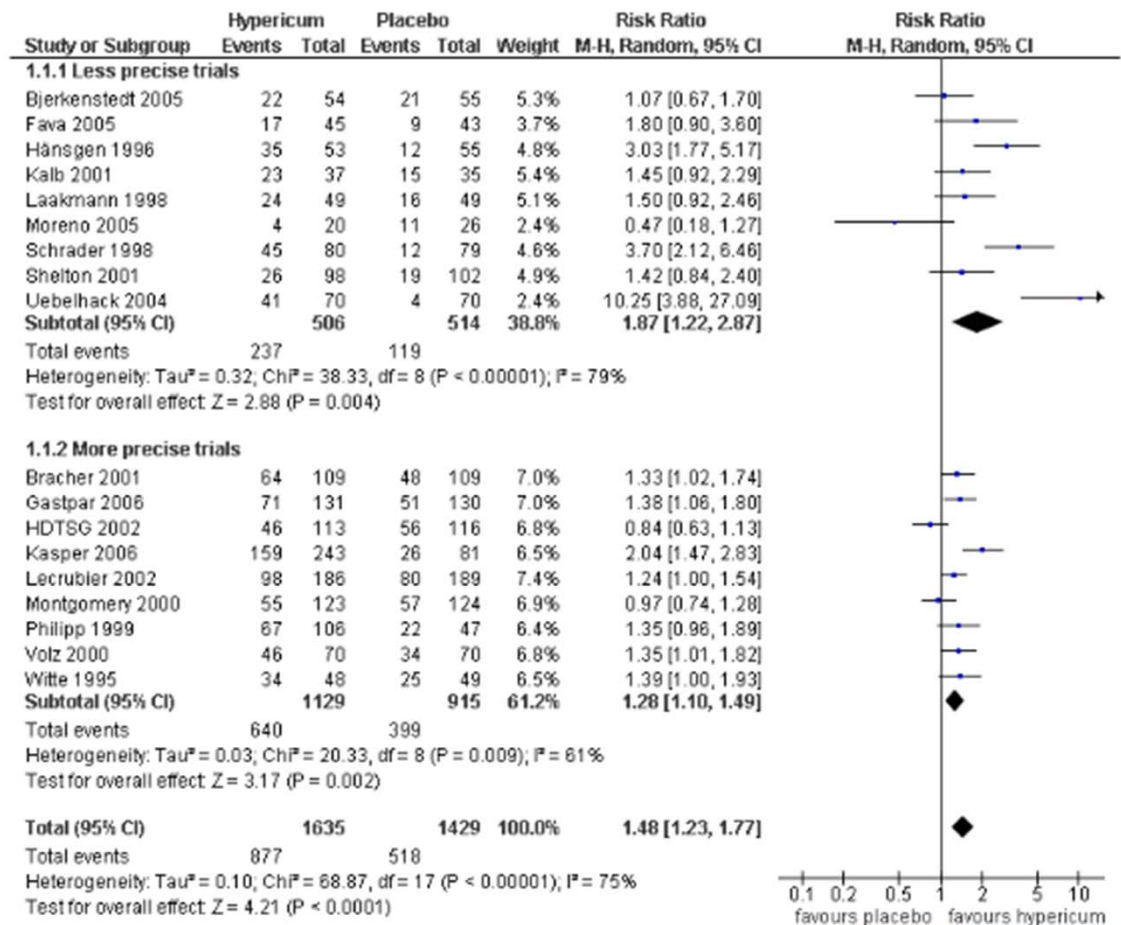


# \* St. Johnswort

Linde et al. 2008  
 Meta-review update  
 Cochrane Collaborative  
 Depression (major only)

Similar to standard  
 antidepressants  
 but with  
 fewer side-effects

Figure 1. Forest plot of comparison: 1 Hypericum mono-preparations vs. placebo A. Dichotomous measures, outcome: I.I Responder - grouped by precision - primary analysis.



# \*St. Johnswort

## Safety concerns: hepatic CYP450 induction Russo et al. 2013 review

Prescribed drug	Clinical results of the interaction with HP	Possible mechanism	References
Antihistamine Fexofenadine	Increased the maximum plasma concentration and decreased the oral clearance		Wang <i>et al.</i> , 2002; Di <i>et al.</i> , 2008
Bronchodilator Theophylline	Decreased plasma concentration	Induction of hepatic cytochromes	Chen <i>et al.</i> , 2012
Cardiovascular Warfarin	A loss of the anticoagulant effect; significant reduction in the pharmacological effect of racemic warfarin	Particle formation in aqueous solution with HP; induction of CYP3A4	Gröning <i>et al.</i> , 2003; Jiang <i>et al.</i> , 2004
Phenprocoumon Nifedipine	Decreased plasma levels Induced metabolism with increased plasma concentrations of dehydronifedipine	Induction of CYP3A4 Induction of CYP3A4 and CYP2C19	Chen <i>et al.</i> , 2011 Wang <i>et al.</i> , 2007
Verapamil	Reduced bioavailability	Induction of first-pass CYP3A4 metabolism	Tannergren <i>et al.</i> , 2004
Digoxin	Decreased intestinal absorption; reduction of plasma AUC and $C_{max}$	Induction of the P-gp	Gottesman <i>et al.</i> , 1996; Johne <i>et al.</i> , 1999
Hypolipidemic Atorvastatin	Increased LDL Increased total cholesterol	Increases CYP3A4 and P-gp activity	Holtzman <i>et al.</i> , 2006; Markowitz <i>et al.</i> , 2003
Simvastatin	Increased LDL	Decreased plasma concentrations	Sugimoto <i>et al.</i> , 2001
Gastrointestinal Omeprazole, esomeprazole,	Decrease plasma concentration of proton pump inhibitors	Induction of CYP2C19	Wang <i>et al.</i> , 2004

# \*St. Johnswort

## Safety concerns: hepatic CYP450 induction. Russo et al. 2013 review

Loperamide	Brief episode of delirium	Theoretically induces a monoamine oxidase inhibitor–drug reaction	Khawaja <i>et al.</i> , 1999
Oral contraceptives			
Ethinylestradiol and desogestrel	Reduction of plasmatic concentration, bleeding, and pregnancies	Induction of CYP3A4	Zhou <i>et al.</i> , 2004; Hall <i>et al.</i> , 2003; Borrelli and Izzo, 2009; Dresser <i>et al.</i> 2003; Izzo, 2004
Ethinylestradiol and noretindrone	Increased clearance of noretindrone and decreased half-time of ethinylestradiol Increased metabolism of noretindrone and ethinylestradiol		
Non-steroidal antiinflammatory drugs			
Ibuprofen	Reduction of plasmatic concentration	Increase expression of glycoprotein G	Bell <i>et al.</i> , 2007b; Zhou <i>et al.</i> , 2004; Izzo, 2004; Dresser <i>et al.</i> , 2003
Corticosteroids			
Dexamethasone, prednisone, and budesonide	Reduction of plasmatic concentration	Induction of CYP3A4	Izzo, 2004; Bell <i>et al.</i> , 2007a
Opioids			
Methadone and pethidine	Reduction of plasmatic concentration and abstinence syndrome	Induction of CYP2D2	Dostalek <i>et al.</i> , 2005
Dextromethorphan	Reduction of plasmatic concentration		
Oxycodone	Reduction of plasmatic concentration	Induction of CYP3A4	Nieminen <i>et al.</i> , 2010
Antimicrobial			
Voriconazole	Decreased AUC	Induction of CYP3A4, CYP2C19, and CYP2C9	Borrelli and Izzo, 2009
Erythromycin	Increased metabolism of erythromycin (decreased AUC)	Induction of CYP3A4 (40%)	Borrelli and Izzo, 2009

# \*St. Johnswort

Safety concerns: hepatic CYP450 induction. Russo et al. 2013 review

## Antineoplastic

Imatinib

Decreased plasma concentration

Induction CYP3A4 and P-gp

Caraci *et al.*, 2011

Irinotecan

Altered hepatic metabolism

Izzo and Ernst, 2009

Docetaxel

Decreased clinical efficacy

## Immunosuppressants

Cyclosporine

Decreased plasma concentration

Induction enzymes cytochrome and P-gp

He *et al.*, 2012; Hu *et al.*, 2005

Tacrolimus

Organ rejection

Mai *et al.*, 2003

## Hypoglycaemic agents

Gliclazide

Decreased plasma concentration

Induction enzymes cytochrome and P-gp

Izzo and Ernst, 2009

Tolbutamide

Di *et al.*, 2008



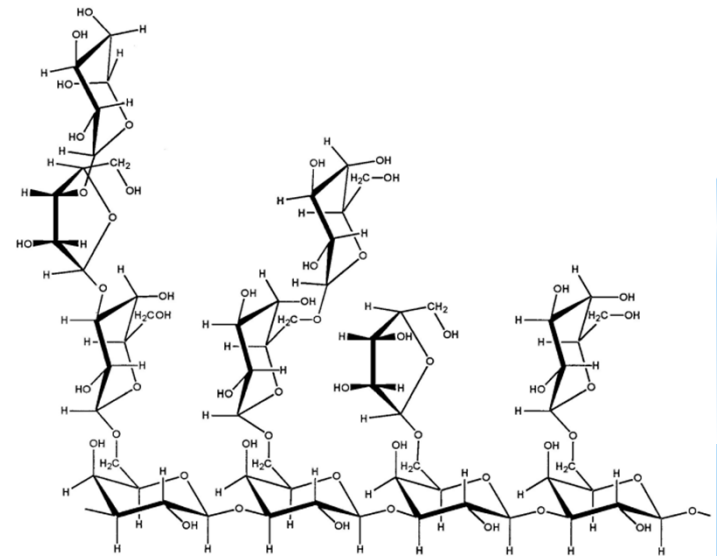
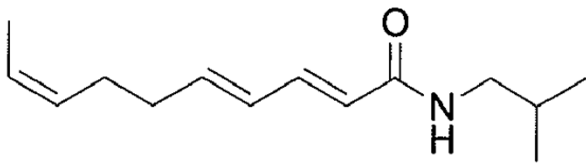
# \* Echinacea

Echinacea species (*purpurea*, *angustifolia*, *pallida*)

How used: liquid extracts, encapsulated root and/or leaf

Why used: Prevention and treatment of the common cold

Pharmacology: Complex. Alkyl amides, hmw polysaccharides



# \* Echinacea

Shah et al.  
Lancet  
Meta-review 2007

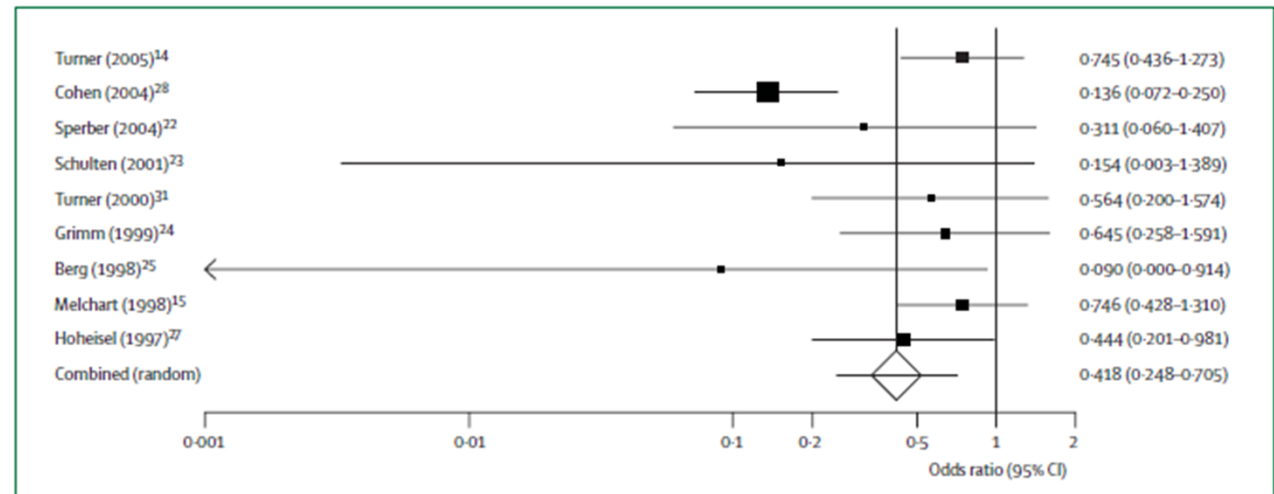


Figure 3: The effect of echinacea on incidence of common cold

The squares represent individual studies and the size of the square represents the weight given to each study in the meta-analysis. Error bars represent 95% CIs. The diamond represents the combined result. The solid vertical line extending upwards from 1.0 is the null value.

But...  
Barrett et al 2010  
N=719, 4 groups  
Showed no effect

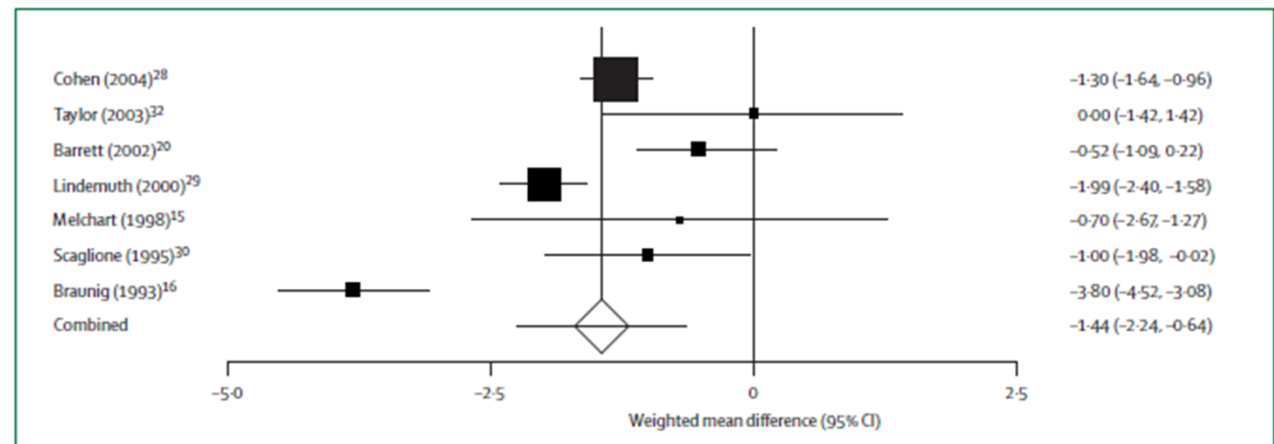


Figure 4: The effect of echinacea on duration of common cold

The squares represent individual studies and the size of the square represents the weight given to each study in the meta-analysis. Error bars represent 95% CIs. The diamond represents the combined result. The solid vertical line extending upwards from 0 is the null value.

# \*Echinacea

Safety concerns:

antiretroviral interaction? Moltó J et al 2011 found no effect w/etravirine

Contraindicated in autoimmune disease? Theoretical from T-cell activation potential *in vitro*.

Neri et al 2011 found no effect on idiopathic autoimmune uveitis

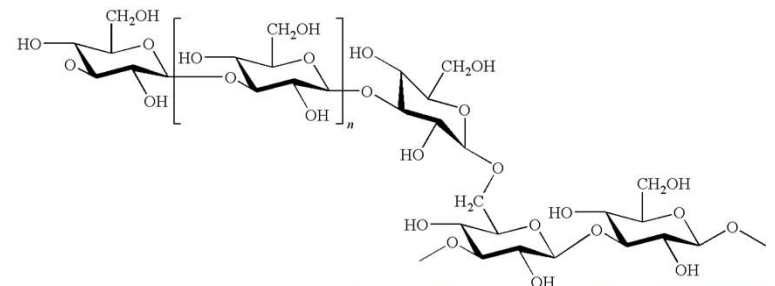
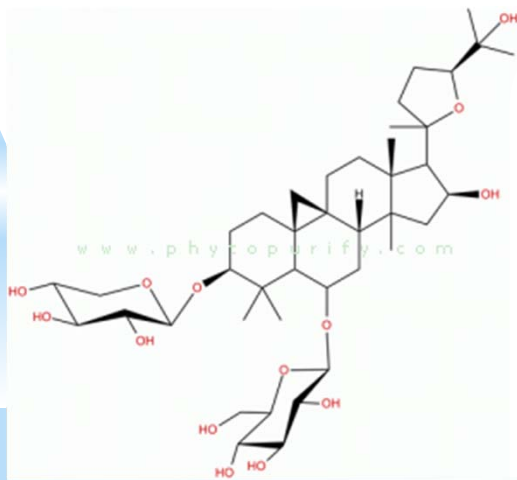
# \* Astragalus

Astragalus membranaceus. aka huang-qi

How used: liquid extracts, encapsulated or sliced dry root

Why used: Renoprotective in nephrosis, chemotherapy adjunct

Pharmacology: Steroidal saponins (astragaloside), hmw polysaccharides



# \* Astragalus

Preventing infection w/nephrotic syndrome in children:  
Wu et al., Cochrane Collaborative 2012  
(RR 0.62, 95% CI 0.47 to 0.83)

Alongside platinum-based chemotherapy for NSC lung cancer  
McCulloch et al 2006 Pooled 34 trials for 2,815 patients  
Increased tumor response, survival.

Very safe, free of side effects

- Injection included
- Combination formulas included



McCulloch  
et al 2006

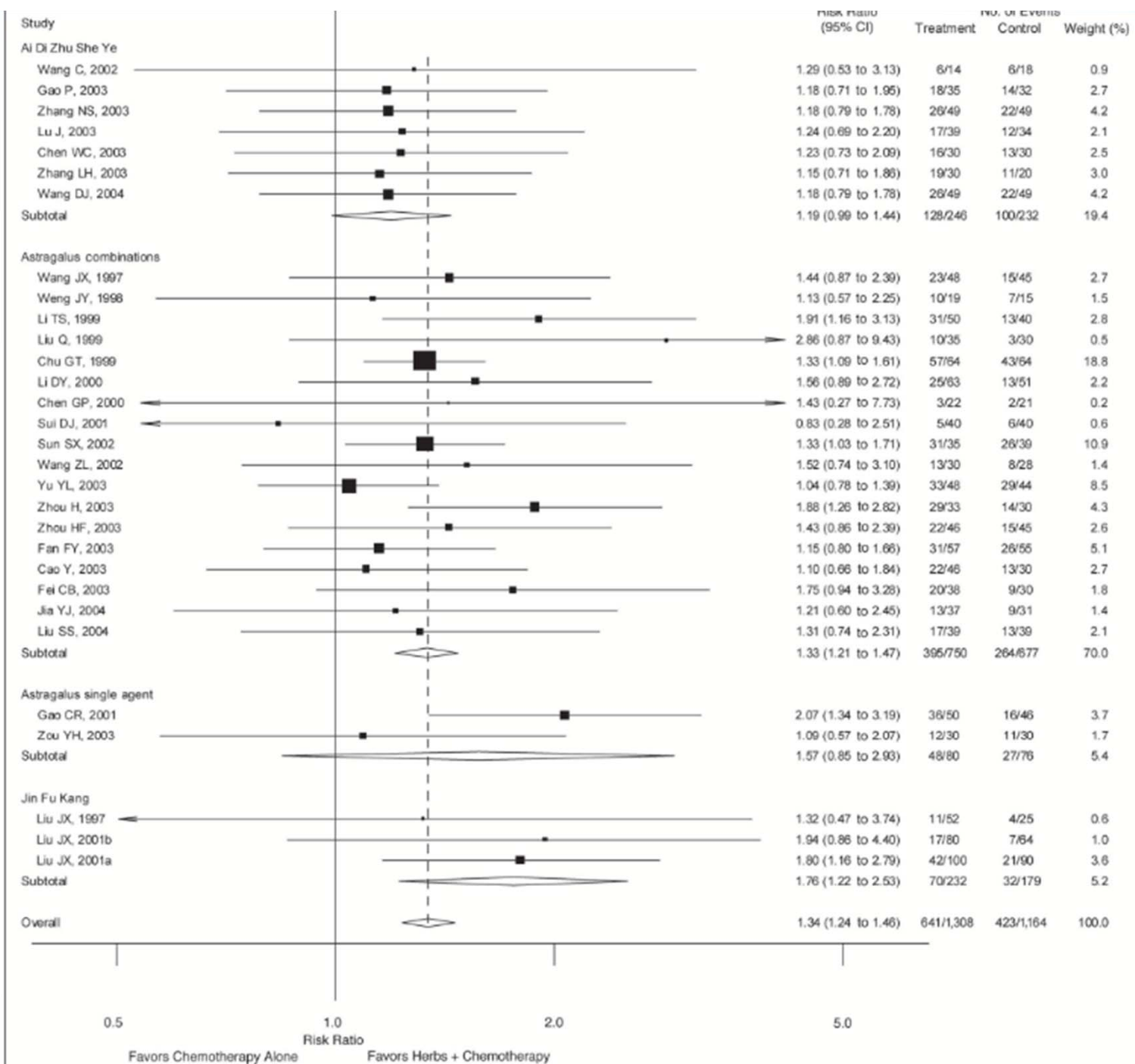


Fig 4 Tumor response with Astragalus-based herbs and platinum-based chemotherapy versus platinum-based chemotherapy alone

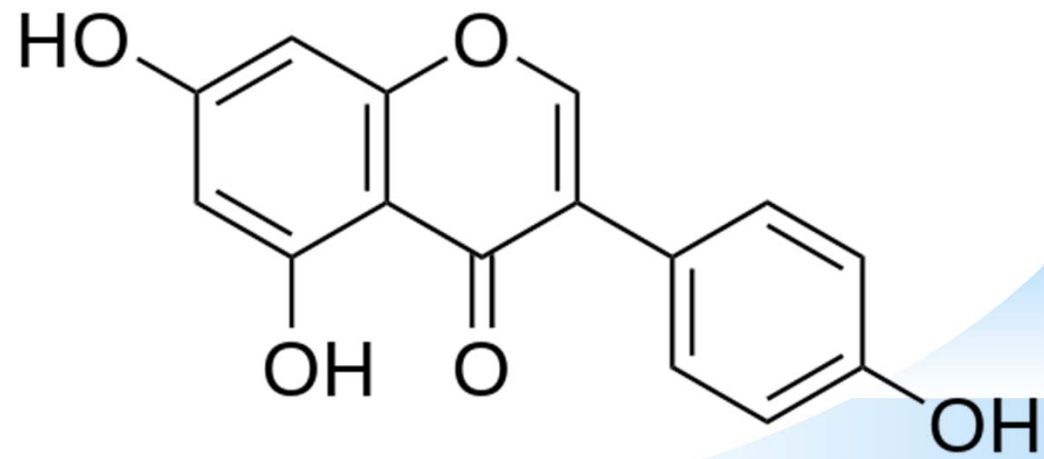
# \*Soy

Glycine max

How used: food, protein isolate, isoflavone isolate capsules

Why used: Plasma lipid and cholesterol management, menopausal symptoms

Pharmacology: Isoflavones (genistein, daidzein)





Plasma lipid and cholesterol management:

Anderson and Bush 2011, Meta-analysis.

4-5% reduction in LDL, 3.2% increase in HDL, 10.6% reduction in TG

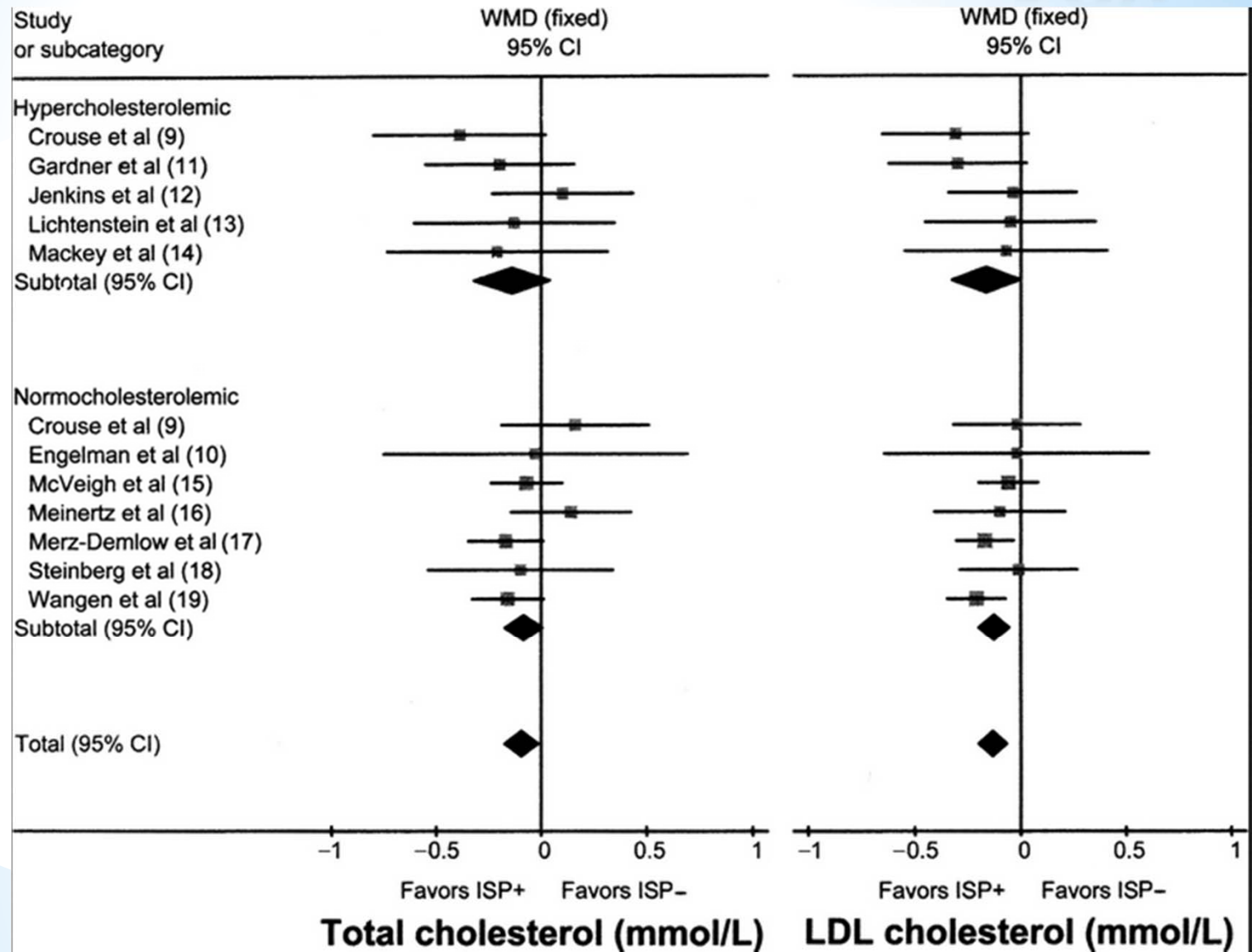
Builds on

Taku et al. 2007, Meta-analysis.

Similar results on reducing LDL and TC

# \*Soy

Taku et al.  
2007





Menopausal symptoms:

Taku, Kyoko, et al. "Extracted or synthesized soybean isoflavones reduce menopausal hot flash frequency and severity: systematic review and meta-analysis of randomized controlled trials." *Menopause* 19.7 (2012): 776-790. Frequency reduced 20%, severity 26%

Bolaños, Rafael, Angélica Del Castillo, and José Francia. "Soy isoflavones versus placebo in the treatment of climacteric vasomotor symptoms: systematic review and meta-analysis." *Menopause* 17.3 (2010): 660. Frequency reduced 39%, high heterogeneity of pooled trials





Bone density:

Some reviews, such as  
Wei et al. 2012,  
show modest effects on bone mineral density.

Others, such as  
Ricci 2010  
show no effects.

Taku et al 2010

Found modest effects on BMD in the spine of post-menopausal women.



Safety considerations: phytoestrogen content in ER-dependent tumors

Dong et al. 2011 reviews prospective studies on incidence / recurrence

.89 HR on average for incidence

.76 HR for incidence in Asian women, not significant in Western women

.84 HR for recurrence on average

Xu et al. 2013, building on previous retrospective work at Vanderbilt,  
Reviews retrospective studies on post-diagnosis survival / recurrence

Soy intake is associated with

.85 HR for mortality

.79 HR for recurrence

Best outcomes in ER- and ER+/PR+ tumors, postmenopausal women

\*note: may be useful for lung, colorectal tumors as well

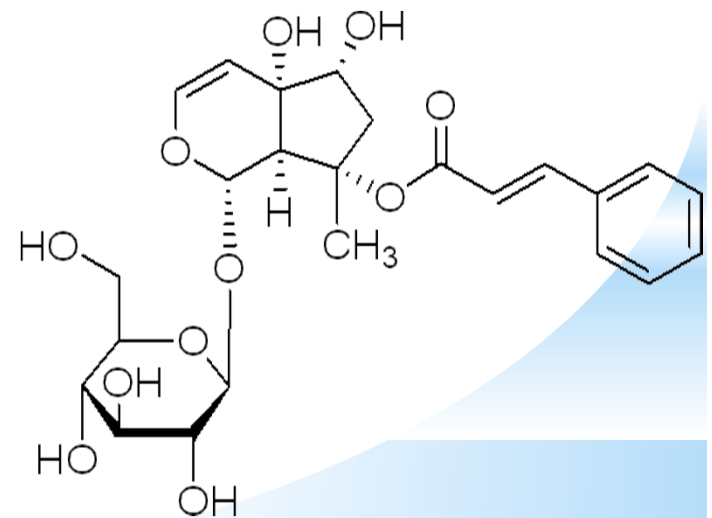
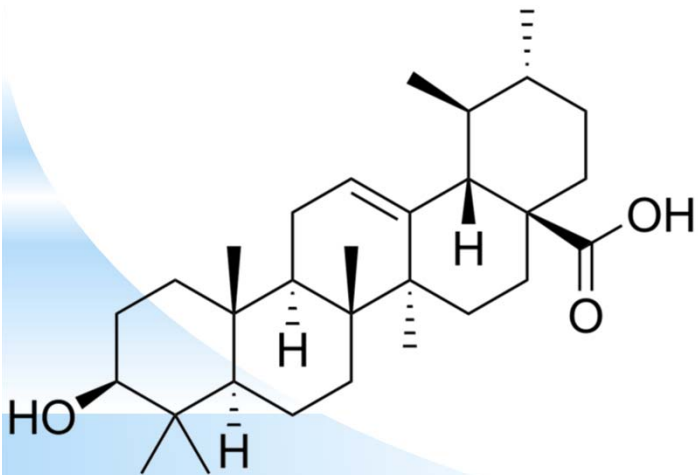
# \* Devil's Claw

Harpagophytum procumbens

How used: liquid extracts, extract capsules

Why used: Back pain, osteoarthritis, rheumatoid arthritis

Pharmacology: Triterpinoids, iridoid glycosides in resin



# \* Devil's Claw

Osteoarthritis / back pain:

Gagnier et al 2007 (Cochrane Collaborative): higher quality trials (3) demonstrate benefit in spine / low back pain

Wegener T, Lupke NP. Treatment of patients with arthrosis of hip or knee with an aqueous extract of devil's claw (*Harpagophytum procumbens* DC.). *Phytother Res* 2003;17:1165-1172.

\*open-label. Pain scores reduced 20-24% after 12 weeks

Chantre P, Cappelaere A, Leblan D, et al. Efficacy and tolerance of *Harpagophytum procumbens* versus diacerhein in treatment of osteoarthritis. *Phytomedicine* 2000;7:177-183

Pain reduced similarly between groups, less rescue medication, fewer side eff

# \* Devil's Claw

Safety considerations:

May affect GI tract: bitter irodoids, resins. Caution in GI ulceration  
May affect CYP450 enzymes (inhibitor of isoforms 2C8 3A4)

Unger and Frank, 2004



# \* Herbal medicines

Current research on effectiveness,  
pharmacology, and safety

Guido Masé RH(AHG)  
Vermont Center for Integrative Herbalism  
[www.vtherbcenter.org](http://www.vtherbcenter.org)



Vermont Center for  
Integrative Herbalism